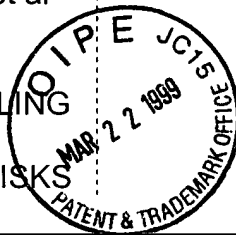


#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Application of Neil McClure et al
Serial No.: 09/144,900
Filed: September 1, 1998
For: ADHESIVE STICKER LABELING
SYSTEM FOR USE IN
IDENTIFYING COMPACT DISKS

Examiner: Not Assigned
Group Art Unit: 3722
Docket No.: 8015/004



CERTIFICATE OF MAILING(37 CFR 1.8)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Attention: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231.

March 18, 1999
Date

Nancy Halas
Nancy Halas

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Dear Sir:

Pursuant to the Notice to File Missing Parts of Application dated September 18, 1998, enclosed are executed originals of the Verified Statement Claiming Small Entity Status and Declaration for the above-identified Patent Application. We further enclose our check in the amount of \$745.00 (\$65.00 fee for Small Entity Statement and \$680.00 fee for 4 month extension of time) and PTO Form-1533, the original Notice to File Missing Parts

The undersigned attorney believes that no additional fees are due, but authorizes the Commissioner to charge any additionally required fees to Deposit Account No. 04-1697.

Respectfully submitted,
DUFT, GRAZIANO, & FOREST, P.C.

By: Dan Cleveland, Jr.
Dan Cleveland, Jr., Reg. #36,356
1790 - 30th Street, Suite 140
Boulder, Colorado 80301
Tel: (303)449-9497
Fax: (303)449-0814

Date March 18, 1999



8015/004

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
|--------------------|---------------------|-----------------------|---------------------------|

8015/004 09/18/98 RECEIVED H 8015/002

0242/0918

DON CLEVELAND JR
DUFF GRANTLAND & FOREST
1790 90TH STREET
SUITE 140
BOULDER CO 80301-1018

NOT ASSIGNED

3722

DATE MAILED: 09/18/98



NOTICE TO FILE MISSING PARTS OF APPLICATION *Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 395.00.

☒ 1. The statutory basic filing fee is:

- ☐ missing.
- ☒ insufficient.

Applicant must submit \$ 395.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☒ 9. OTHER: unsigned small entity statement

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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